

Intro to Index (on files)

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- Suppose we have a relation R

→ stored in a number of blocks on disk.

- User query:

select *
from R
where $a = 10$

- Without further info., the only way to process this query is:

(1) read all blocks of the relation R

(2) Look for tuples with attr. value

$a = 10$.

- Index:

additional info. on specific attribute(s)
to speed up lookup of tuples.

- Definition:

Search Key = field(s) used to create the index.

index file = file that store records of this form:

Search-key	Pointer
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record pointer
(into a data file
or bucket file)

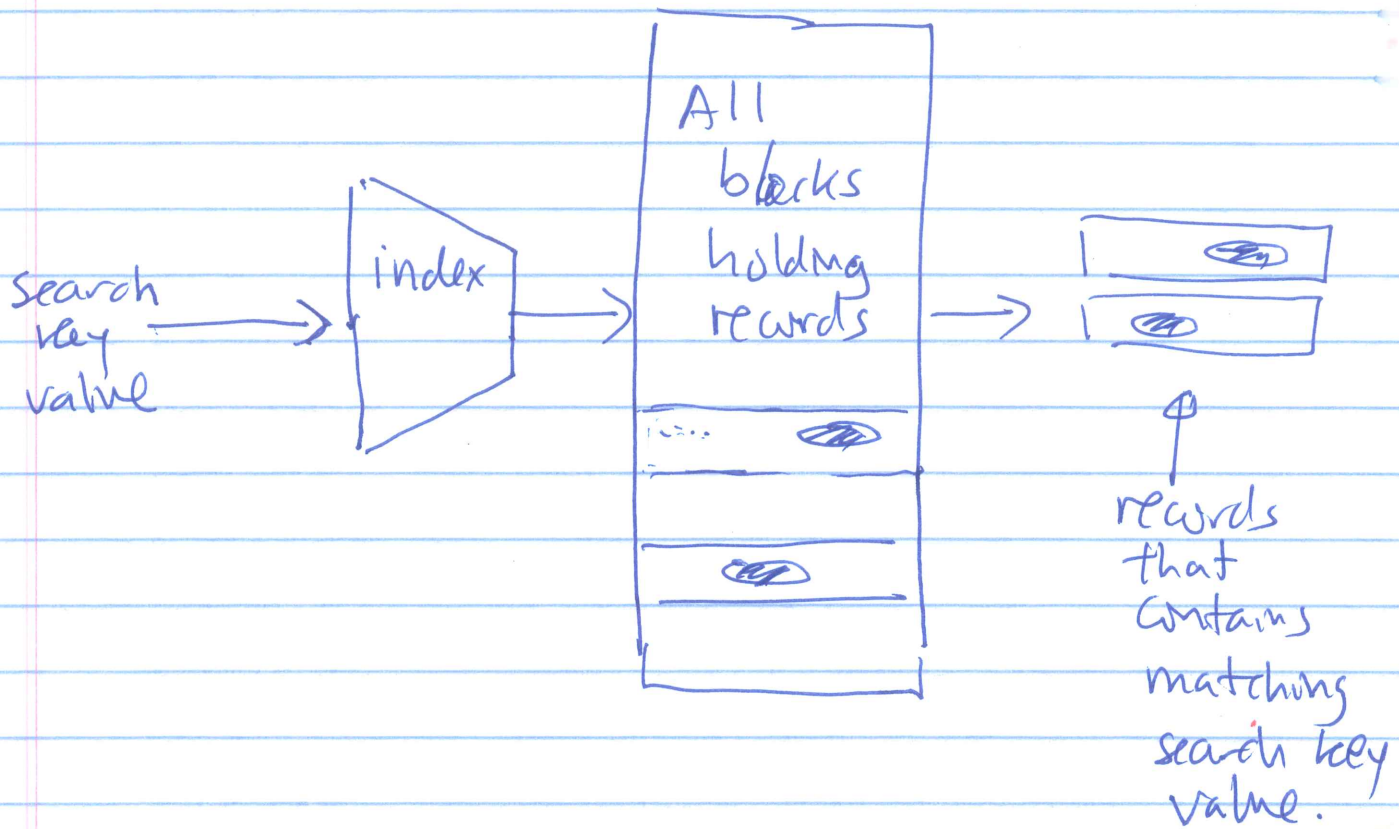
(NB: $\text{size}(\text{index file}) \ll \text{sizeof}(\text{data file})$).
(several orders)

- 2 Basic kinds of indices:

(1) Ordered indices (search keys stored in ~~ordered~~ sorted order)

(2) Hash indices. (search keys are hashed into buckets).
(Hash Tables).

Operation / Effect of an index:



Index-structure Basics

Data File:

Usually: Primary key.
↓ (sort key).

10		A	
20		D	

30		B	
40		A	

50		E	
60		B	

70		B	
80		E	

90		C	
100		F	



Sequential file

- Sequential file = a file containing records ^{tuples} that are sorted by some attribute(s) ~~the primary key~~.

usually the primary key

✓
Tuples (records) are stored in the sorted order !!!

(NB: common practice in sequential files)

(1) - leave room in a block for future tuples.

(2) - use overflow blocks.

- Sort key = field(s) whose values are used to sort/order tuples in a sequential file.

- Ordered Index = an index structure where the index entries:

<u>search-key</u>	<u>pointer</u>
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are stored SORTED (on the search-key)
in an index file.

(NB: take "sorted" with a grain of salt.
B-tree is an ordered index.
B-tree store the index-entries

non-sequentially
but still, there is an order
(sorted order!).

- Primary Index = is an ordered index.

The search key in the index entries:

<u>search key</u>	<u>pointer</u>
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of a primary index, is ALSO
the sort key used for
the Sequential file.

Example.

Primary Index (file)

Primary Index.

10	
20	
30	
40	

50	
60	
70	
80	

Data File

10	A
20	D
30	B
40	A
50	E
60	B
70	B
80	E

A	
A	
B	
B	

• Secondary Index:

Secondary Index (file)

The search key in the index entries

search key	pointer
------------	---------

of a secondary index, is

NOT a sort key

Index-sequential file =

a sequential file that

has a primary index

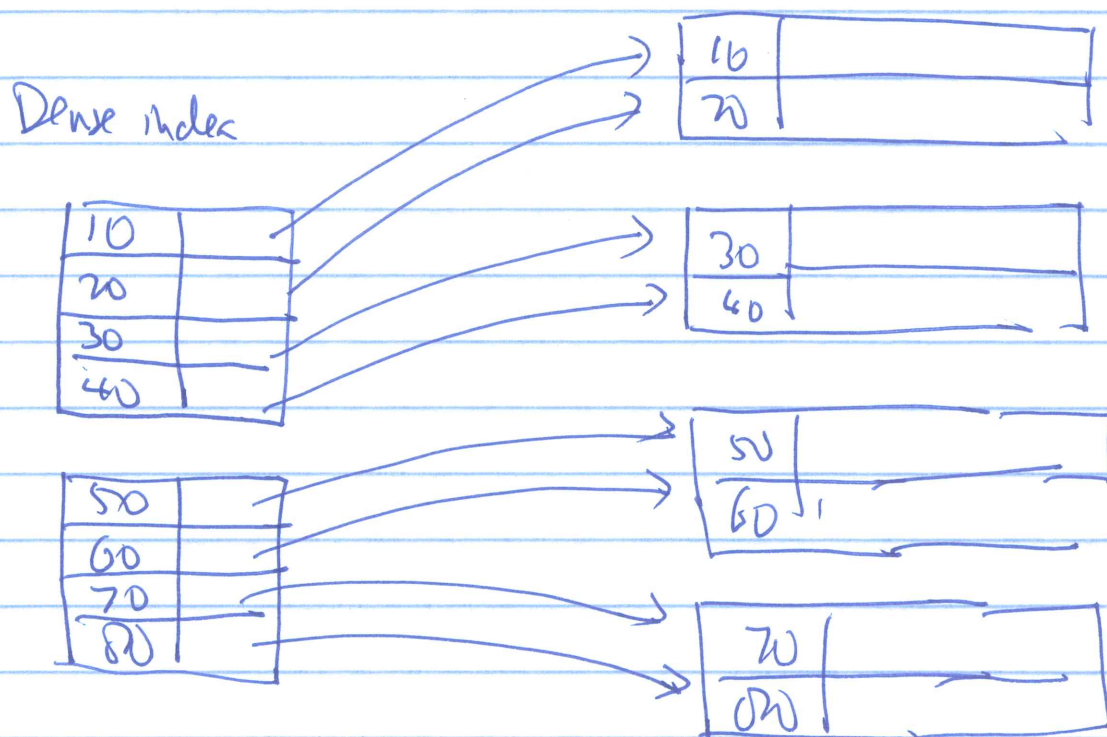
(made popular by IBM).

- Dense Index = The index file contains an index entry:

search-key	pointer
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for every value of the search key in the data file.

eg: "dense index"



- Note: a secondary index is ALWAYS dense

- Sparse index = The index file's index entry

search-key	pointer
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does NOT contain every value of the search key in the data file.

eg:

Sparse index

10	
30	
50	
70	

90	

10	
20	

30	
40	

50	
60	

70	
80	

90	
100	

same space, gain time!!!

- NOTE: ONLY the primary index

can be sparse !!!

NOTE: generally slower than dense index for writing records.

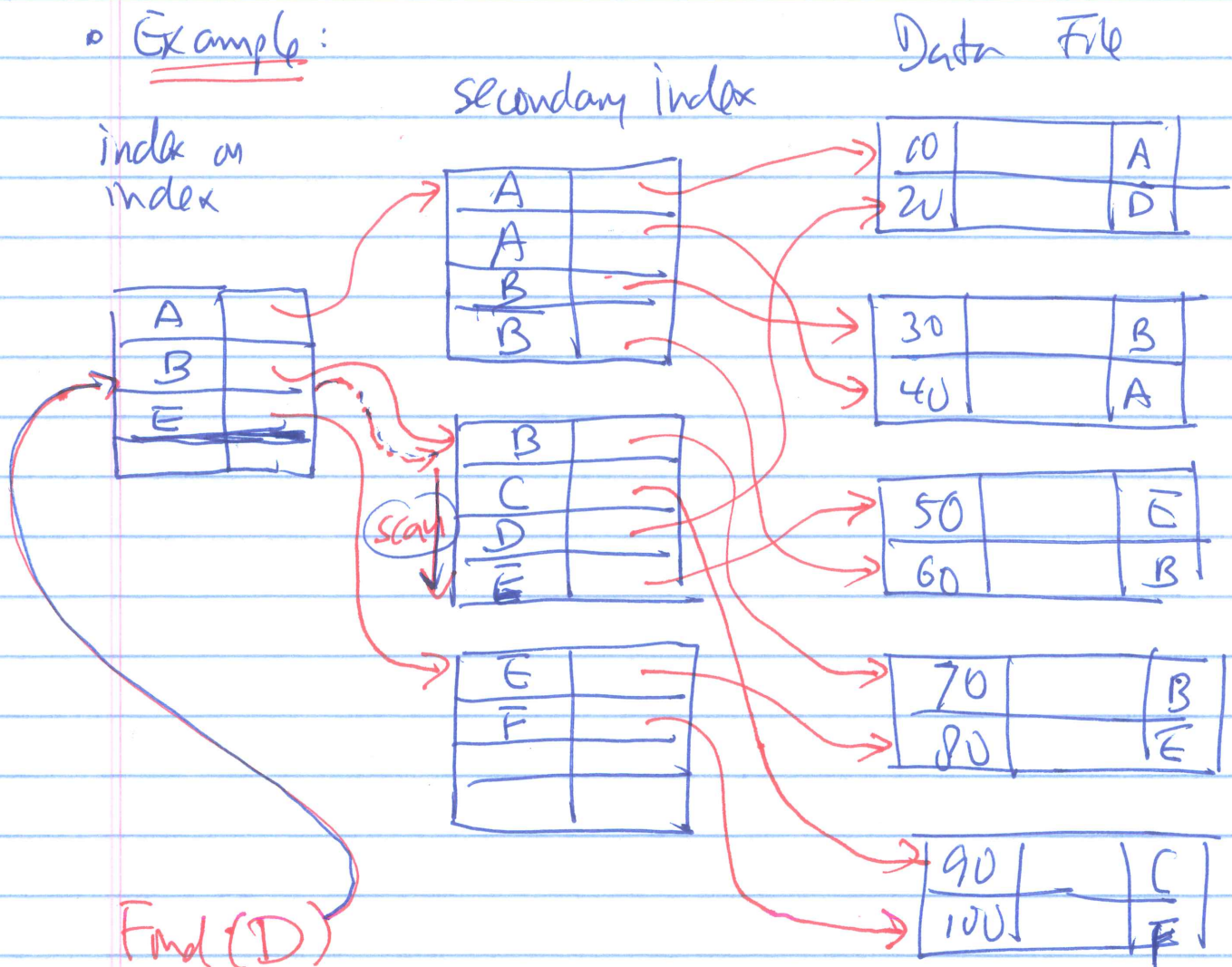
Multi-level Index.

- Fact: An ordered index is a file

So: we can put a (primary) index on this file

(to speed up ~~search~~ searching for a search key !!!)

- Example:



Note: Later, we will study a

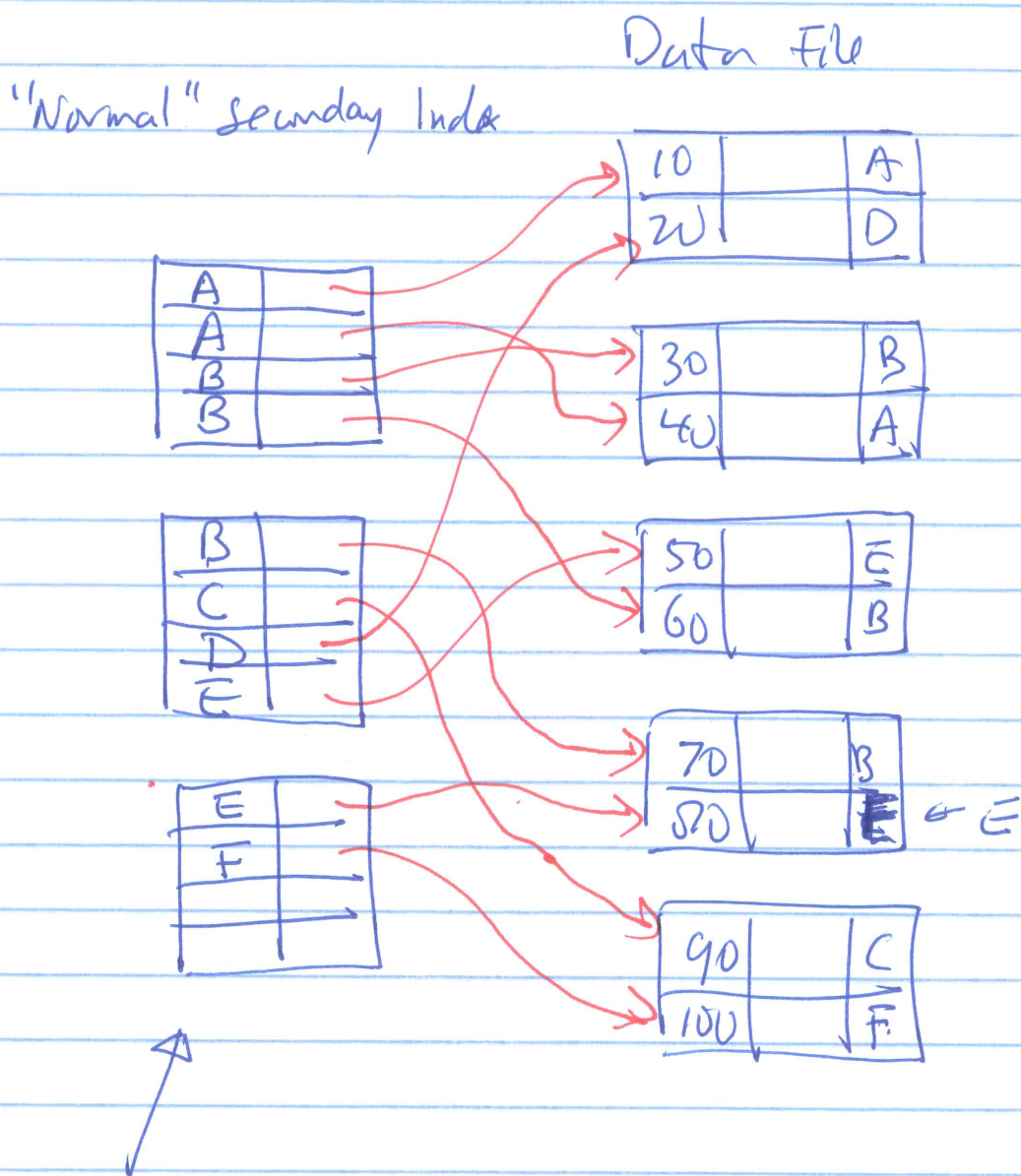
dynamic multi-level index
data structure

⇒ B-tree.

↗
very popular (most popular)
ordered index structure !!!

Indirection in Secondary Indexes.

- "Normal" secondary Index:



A search key that appears n times in the Data File will

appear n times in the index file.



Secondary index with "indirection":

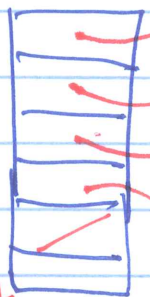
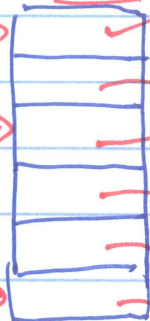
called "Bucket"

Secondary
index

A	
B	
C	
D	

E	
F	

indirection



10	A
20	D

30	B
40	A

50	E
60	B

70	B
80	E

90	C
100	F

"Bucket"

you should use this ONLY
when the search key is LARGE!!

Application

Quickly

Answering queries using indexes.

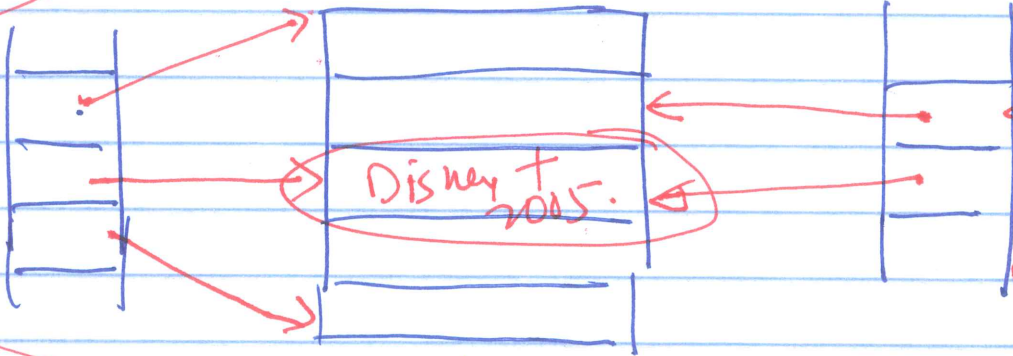
- Suppose we have a movie relation:

Movie (title, year, length, genre, StudioName, Producer).

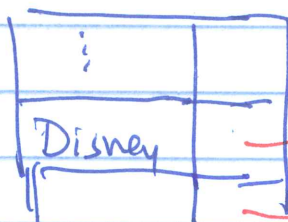
- Query: find all movie titles made by Disney in 2005.

Use Intersection of pointers

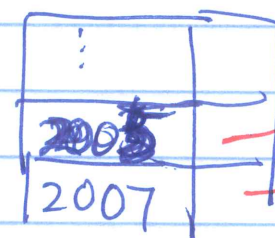
Movie Data File



Secondary Index
of StudioName



Secondary Index
on Year



★ Similar Application: Document retrieval.

• Q: How to find documents (quickly)
that contain certain key words.

• Visualize a document as a relation:

Doc (hasCat, hasDog,)

p
all key words
you want to index.

Example:

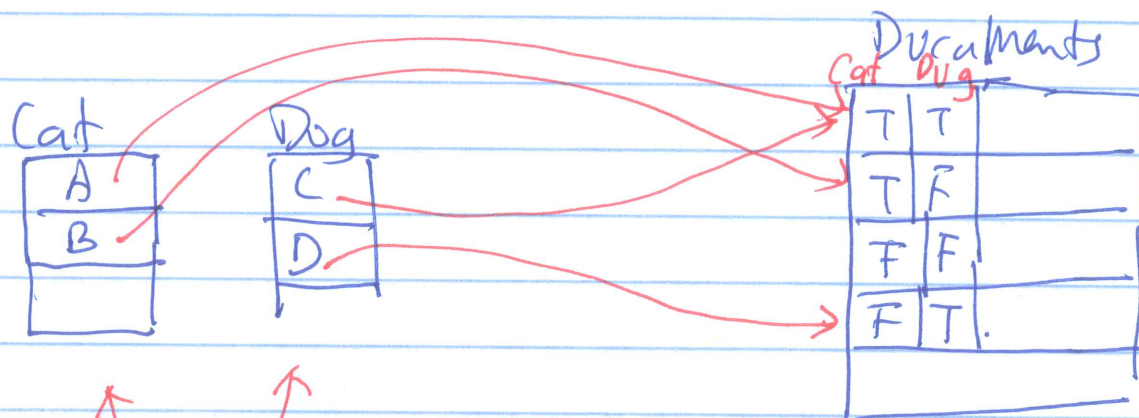
	cat	Dog	...
doc1	T	T	...
doc2	T	F	
doc3	F	F	...

Key:

we DO NOT index tuples

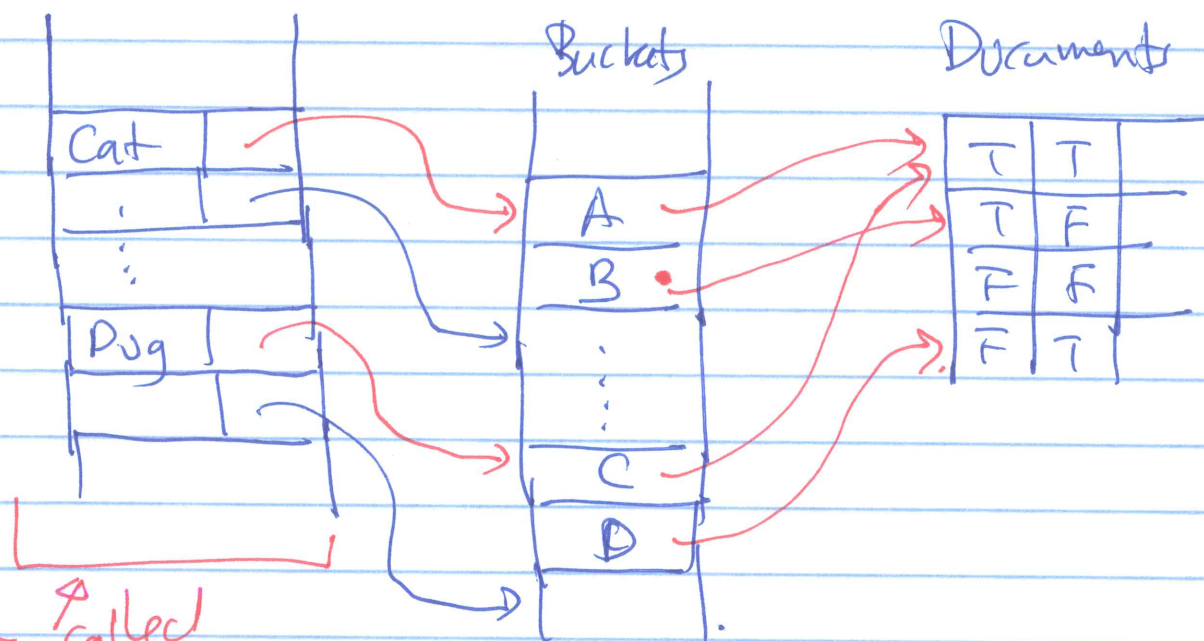
that contains the FALSE value.

First Iteration: one index file for each attr.



↑ ↑
we don't need to
store the search key
(because it's the
same for every pointer!).

Better: combine indices with a second level.



This is called
an "inverted index".

More informative Bucket file

- When indexing HTML/XML documents, the occurrence of the ~~word~~ word has a context associate with it.
- This context (tag) can be stored in the Bucket file:

